

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/103,262		
	<b>Filing Date</b>	June 23, 1998	
	<b>First Named Inventor</b>	BERMAN, Phillip W.	
	<b>Group Art Unit</b>	1648	
	<b>Examiner Name</b>	Shanon A. Foley	
<b>Total Number of Pages in This Submission</b>	3	<b>Attorney Docket Number</b>	402E-918405US

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Request for Corrected Filing Receipt; Copy of Official Filing Receipt; receipt acknowledgment postcard
<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.		
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Emily M. Haliday, Reg. No. 38,903, Quine Intellectual Property Law Group, P.C.
<b>Signature</b>	
<b>Date</b>	December 9, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below			
<b>Typed or printed name</b>	Amelia Weintraub		
<b>Signature</b>		<b>Date</b>	December 9, 2004



I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on December 9, 2004

Attorney Docket No. 402E-918405US

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Weintraub  
Amelia Weintraub

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

BERMAN, Phillip W., et al.

Application No.: 09/103,262

Filed: June 23, 1998

For: **METHODS AND COMPOSITIONS FOR  
VACCINATION AGAINST HIV**

Confirmation No. 2802

Examiner: Shanon A. Foley

Art Unit: 1648

**REQUEST FOR CORRECTED FILING  
RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the Applicant(s) data should read as follows:

**PHILLIP W. BERMAN, PORTOLA VALLEY, CA;**

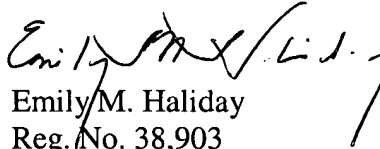
**BRIAN M. FENDLY, HALF MOON BAY, CA;**

**TIMOTHY J. GREGORY, HILLSBOROUGH, CA;**

**FLORIAN M. WURM, FOSTER CITY, CA;**

It is believed that no fee is due. If a fee is due, please charge Deposit Account  
No. 50-0893.

Respectfully submitted,

  
Emily M. Haliday  
Reg. No. 38,903

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

agw



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/103,262	06/23/1998	1648	1884	402E-918405US	8	25	12

CONFIRMATION NO. 2802

CORRECTED FILING RECEIPT

22798

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
P O BOX 458  
ALAMEDA, CA 94501

RECEIVED



\*OC000000014640714\*

DEC 03 2004

Date Mailed: 12/01/2004

Quine Intellectual Property Law Group, P.C.

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

PHILLIP W. BERMAN, PORTOLA VALLEY, CA;  
BRIAN M. FENDLY, HALF MOON BAY, CA;  
TIMOTHY J. GREGORY, HILLSBOROUGH, CA;  
~~FLORIAN M. WURM, FOSTER CITY, CA;~~  
Florian

Power of Attorney:

Janet Hasak-28616  
Maureen Stretch-29447  
Dennis Kleid-32037  
Timothy Schwartz-32171  
Elizabeth Barnes-35059

Diane Marschang-35600  
Sean Johnston-35910  
Deirdre Conley-36487  
Jeffrey Kubinec-36575  
Craig Svoboda-39044

Domestic Priority data as claimed by applicant

This application is a CON of 08/802,361 02/19/1997 PAT 5,849,533  
which is a CON of 08/226,162 04/11/1994 PAT 5,674,984  
which is a DIV of 08/101,669 08/02/1993 ABN  
which is a CON of 07/834,735 02/13/1992 ABN  
which is a DIV of 07/504,785 04/03/1990 ABN

Foreign Applications

If Required, Foreign Filing License Granted: 07/14/1998

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US09/103,262**

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

HIV env antibodies

**Preliminary Class**

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).